



## LMCT Catalysis for Selective Functionalizations of Strong Bonds

Lili Zhang

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#### **Author Introduction**



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#### **Research Topics:**

发展了 铈催化LMCT催化模式(LMCT Catalysis),通过金属复合物的光促配体到金属的电子跃迁途径,实现有机分子的高效转化。

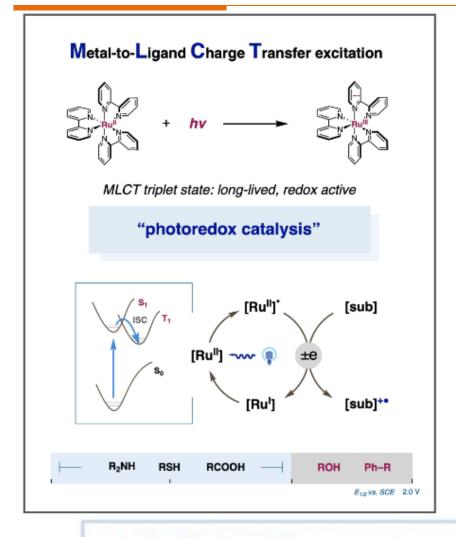




#### 目录

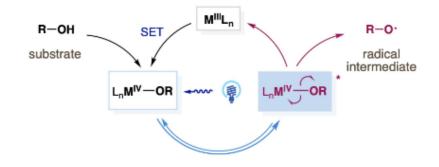
- ◆背景
- ◆烷氧自由基通过HAT活化碳氢键
- ◆烷氧自由基通过β-scissions断裂碳碳键
- **♦** Propose

#### 1. 背景



#### Ligand-to-Metal Charge Transfer excitation

LMCT catalysis paradigm



in situ coordination-LMCT-bond homolysis

- concurrent photoexcitation and substrate activation
- photoactive species generated in situ
- high valent metal salt as catalyst



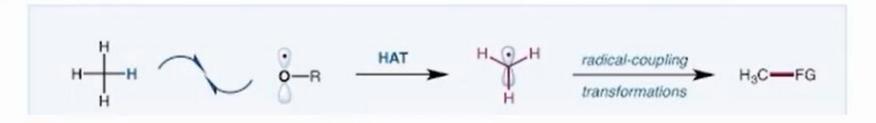




- Metal-to-ligand charge transfer
- Metal-to-metal charge transfer
- Metal-centered charge transfer

- Ligand-to-metal charge transfer
- Ligand-to-ligand charge transfer
- Intra-ligand charge transfer

#### 1. 背景



- mild reaction conditions: ambient temperature
- selective functionalizations

utilization of methyl radical for methane functionalizations

Bond dissociation energy
(BDE)

Polarity matching effect

BDE V	
нас—н	105.0±0.1
(H <sub>3</sub> C) <sub>2</sub> HC—H	98.6±0.4
H₃CO—H	104.6±0.7

CH <sub>3</sub> OH ~ 500 \$/ton	cost-effective
i-PrOH	steric &
t-BuOH	electronic
CCI <sub>3</sub> CH <sub>2</sub> OH	
CF <sub>3</sub> CH <sub>2</sub> OH	fine-tuning

BDE values cited from, Luo, Y.-R. Comprehensive Handbook of Chemical Bond Energies; CRC Press: Boca Raton, FL, 2007

- Thermodynamically and kinetically, favorable
- Tunable reactivity & selectivity via feasible choices of alcohol catalysts

#### 1. 背景

#### Photochemical and thermal reduction of Ce(IV) carboxylates

Kochi, J. K. et al., J. Am. Chem. Soc. 1968, 90, 6688

Photochemical and Thermal Reduction of Cerium(IV)
Carboxylates. Formation and Oxidation of Alkyl Radicals

Roger A. Sheldon and Jay K. Kochi

Contribution from the Department of Chemistry, Case Western Reserve University, Cleveland, Ohlo 44106. Received April 26, 1968

Abstract: The photochemical and thermal reduction of Ce<sup>17</sup> carboxylates to Ce<sup>13</sup> proceed by decarboxylation and liberation of alkyl radicals. Alkanes are subsequently formed by hydrogen transfer, and alkanes, together with esters, result from oxidation of alkyl radicals by Ce<sup>17</sup>. a-Propyl and isopropyl radicals primarily afford propens, whereas r-butyl radicals are oxidized to isobutylene and r-butyl esters. The mechanism of the oxidation of alkyl radicals by Ce<sup>17</sup> is discussed. Quantum yield measurements show that photochemical homolysis of Ce<sup>17</sup> carboxylates is an efficient process. The thermal and photochemical reactions are otherwise equivalent. Alkyl radicals can be trapped with oxygen, chloroform, or Cu<sup>11</sup>. If excess oxygen is employed a catalytic decarboxylation of pivalic acid occurs. Strong acids accelerate both the thermal and photochemical reduction of Ce<sup>17</sup>. Cationic carboxylatocerium(IV) species which are labile to homolysis and readily reduced by alkyl radicals are postulated as the reactive intermediates in the presence of acid.



Communication

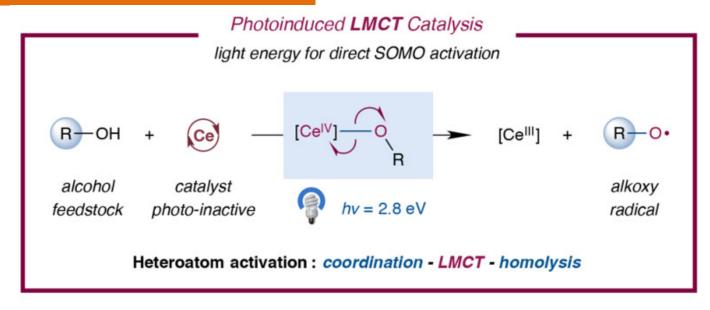
pubs.acs.org/JACS

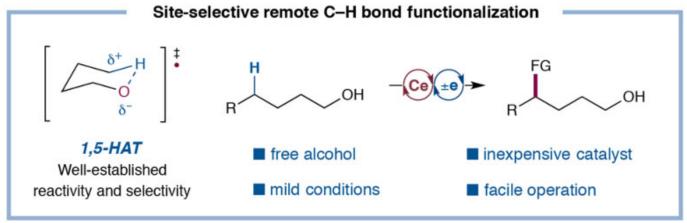
## $\delta$ -Selective Functionalization of Alkanols Enabled by Visible-Light-Induced Ligand-to-Metal Charge Transfer

Anhua Hu,<sup>†,§</sup> Jing-Jing Guo,<sup>†,§</sup> Hui Pan,<sup>†</sup> Haoming Tang,<sup>†</sup> Zhaobo Gao,<sup>‡</sup> and Zhiwei Zuo\*,<sup>†</sup>

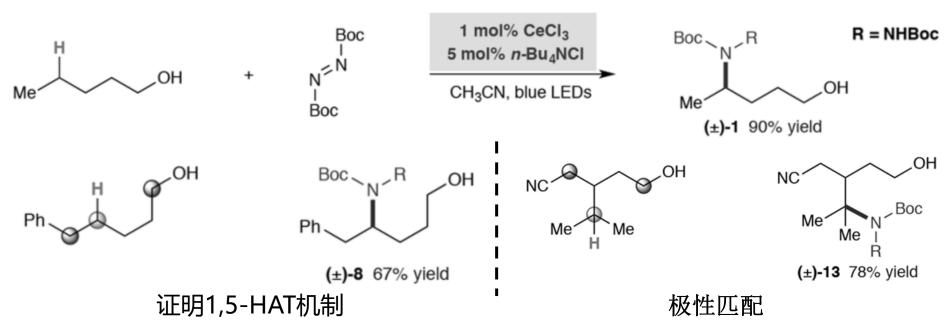
<sup>&</sup>lt;sup>†</sup>School of Physical Science and Technology, ShanghaiTech University, Shanghai 201210, China

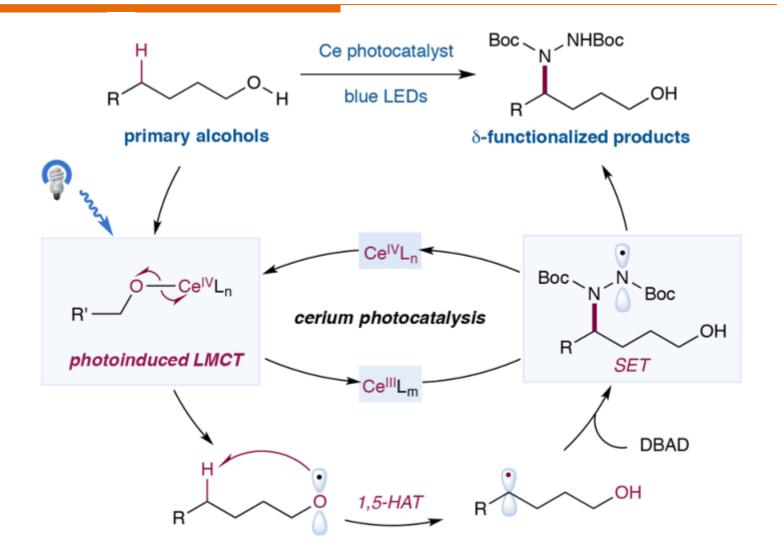
<sup>&</sup>lt;sup>‡</sup>Jiuzhou Pharmaceutical, Zhejiang 318000, China





质子耦合电子转移(PCET)催化:一般用于N-H键活化,以 及富含电子的芳烃取代叔醇中的O-H键活化。



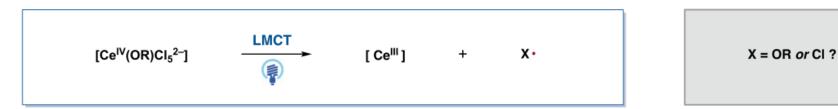




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Article

## Identification of Alkoxy Radicals as Hydrogen Atom Transfer Agents in Ce-Catalyzed C-H Functionalization



- catalytically relevant Ce(IV) alkoxide complexes: isolated and XRD characterized
- spin-trapping EPR (with DMPO, PBN): DMPO-OR, PBN-OR detected
- TA spectroscopy: alkoxy radical confirmed; chlorine radical precluded



X = OR

X = OR, CI, or [CI-ROH]?

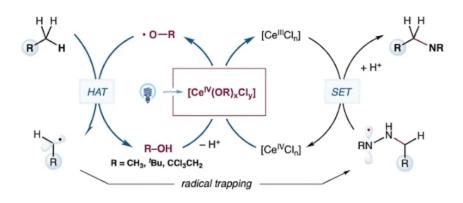
- HAT identified as RDS; X = OMe, a large primary KIE observed: k<sub>H</sub>/k<sub>D</sub> = 5.5
- high-level calculations: X = OMe,  $\Delta\Delta G^{\neq}$  (1° vs. 3°) = 3.6 kcal/mol; selectivity, 152:1
- chloride-free and chloride-containing conditions: identical regioselectivity obtained



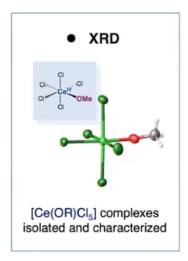
X = OR

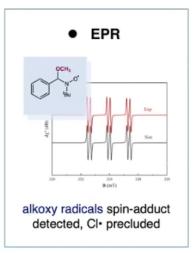
#### 机理实验

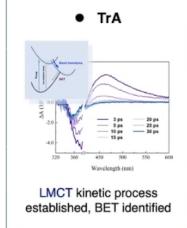
#### Ce-catalyzed selective C(sp³)-H functionalizations: mechanistic investigations

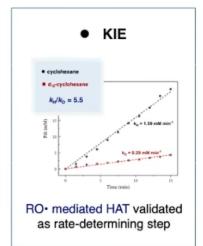


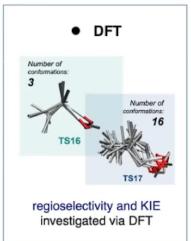
- proposed catalytic cycle
- √ [Ce(OR)Cl<sub>5</sub>] complex as photoactive species
- ✓ selective generation of alkoxy radical in LMCT-homolysis
- √ alkoxy radical-mediated HAT for selective cleavage of C–H bond



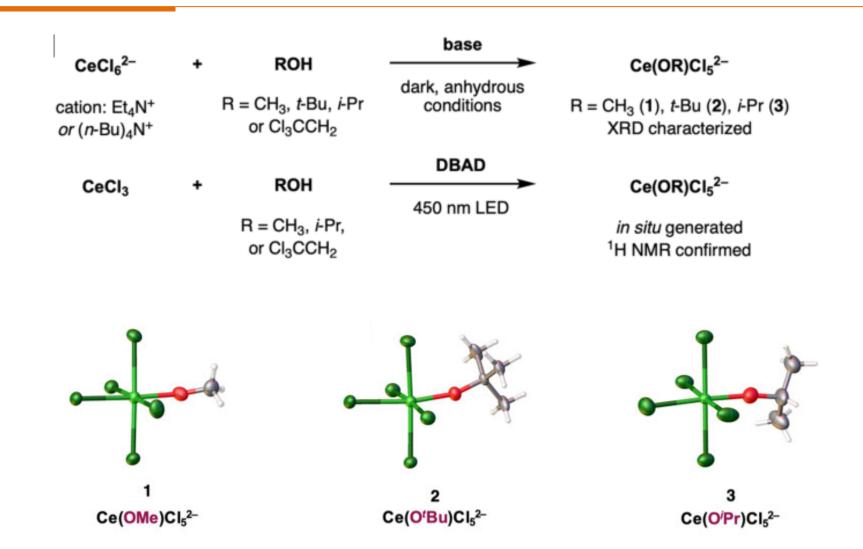






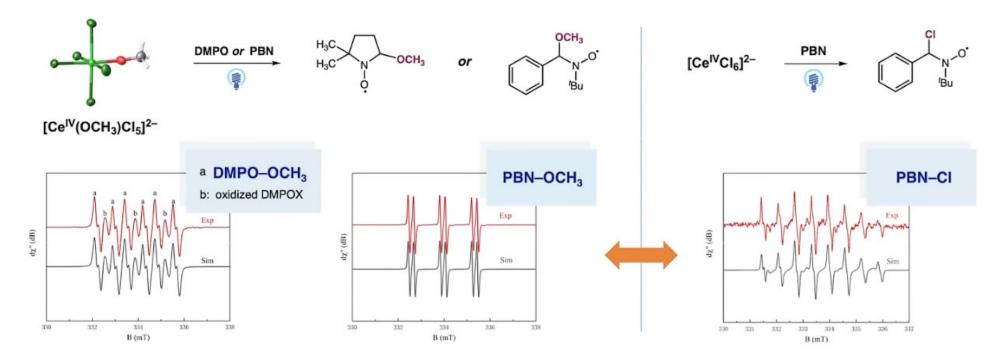


#### 2.2.1. 中间体制备和表征



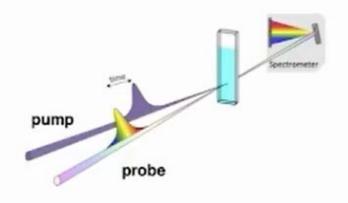
#### 2.2.2. 电子顺磁共振(EPR)实验

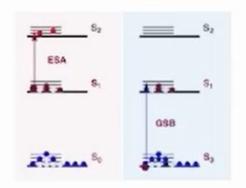
■ Operando EPR validates the exclusive generation of alkoxy radical in the LMCT excitation of [Ce(OR)Cl<sub>5</sub>]<sup>2</sup>-

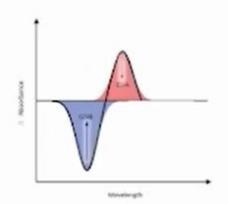


- characteristic spectrum of spin adduct of 'BuO・, 'PrO・, Cl<sub>3</sub>CH<sub>2</sub>O・, CF<sub>3</sub>CH<sub>2</sub>O・, (CF<sub>3</sub>)<sub>2</sub>CHO・ obtained
- exclusive generation of alkoxy radical in the LMCT-homolysis, precluding the generation of chlorine radical

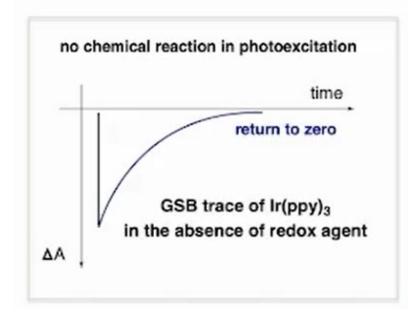
#### ■ Transient absorption (TrA) spectroscopy

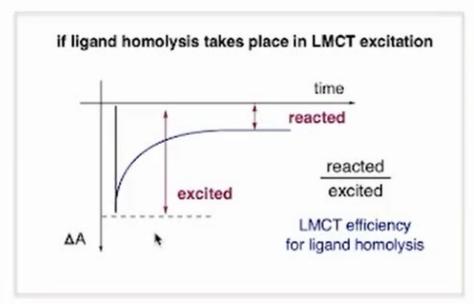




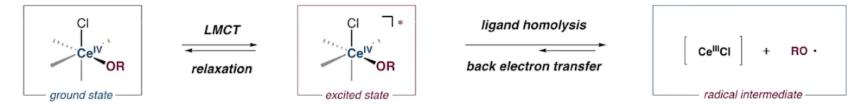


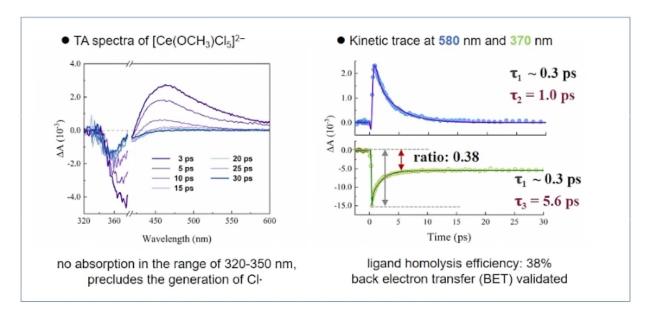
excited state life time, decay pathway and kinetics

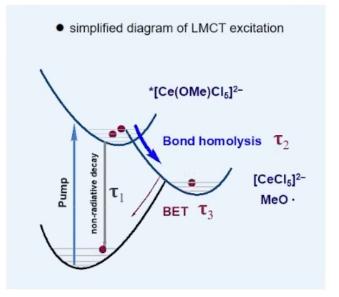


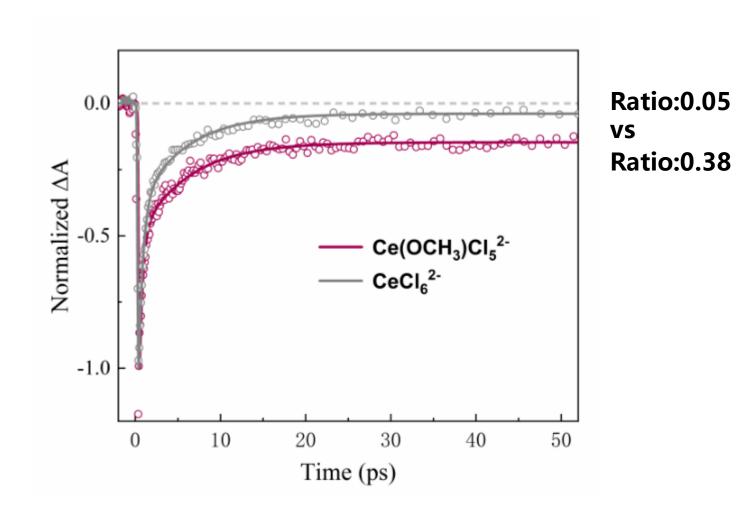


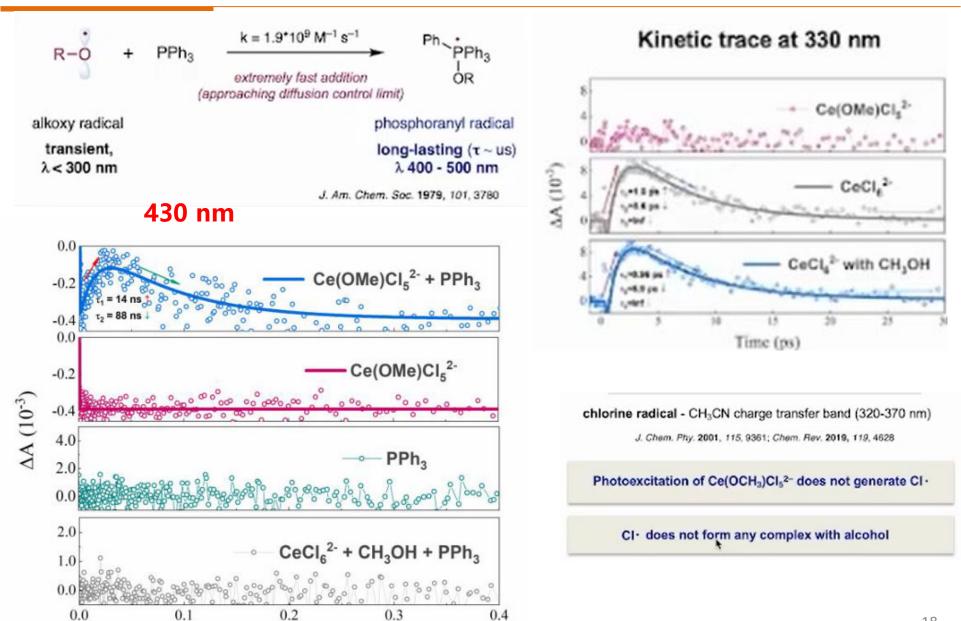
■ TA spectroscopy experiment of [Ce(OCH<sub>3</sub>)Cl<sub>5</sub>]<sup>2-</sup>: probe the LMCT process at fs timeframe



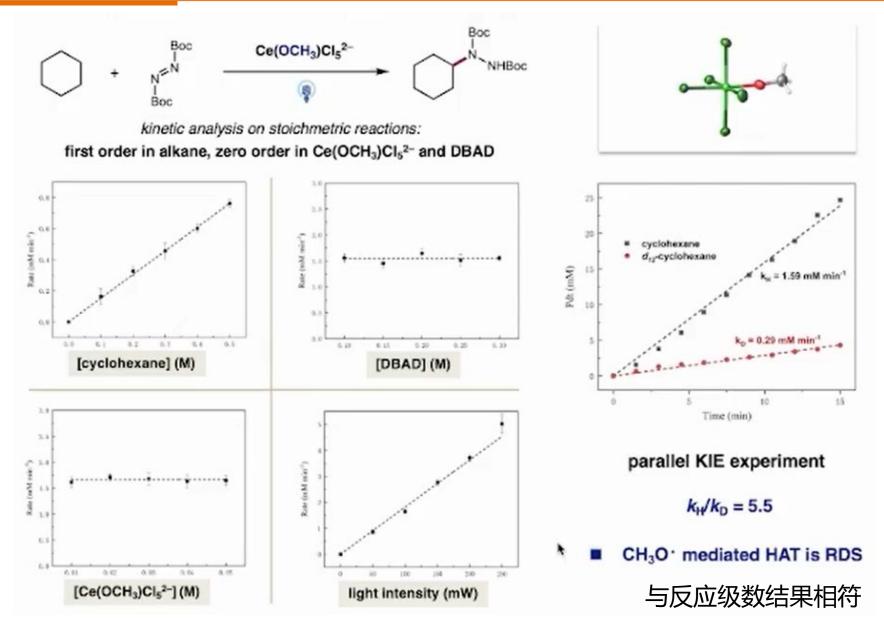




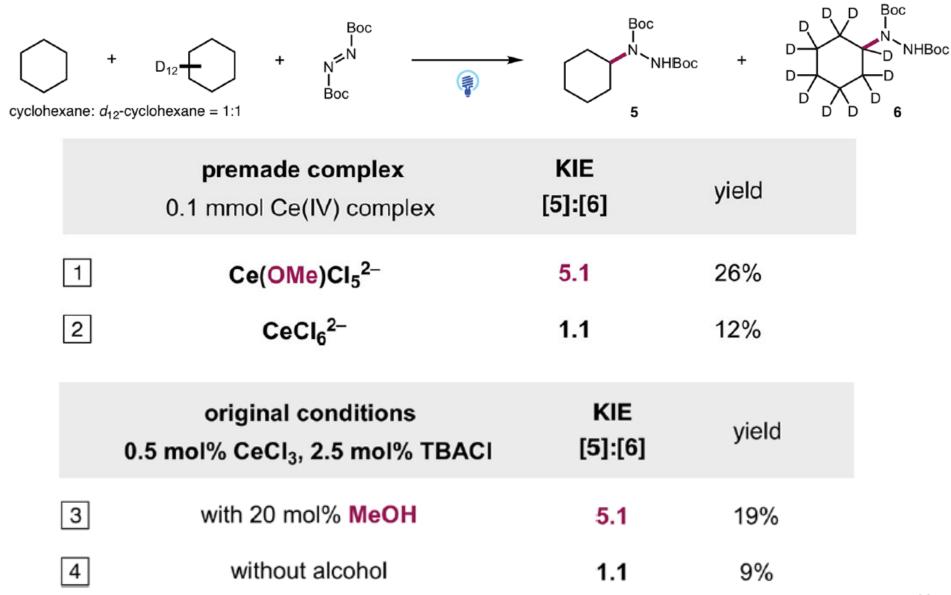




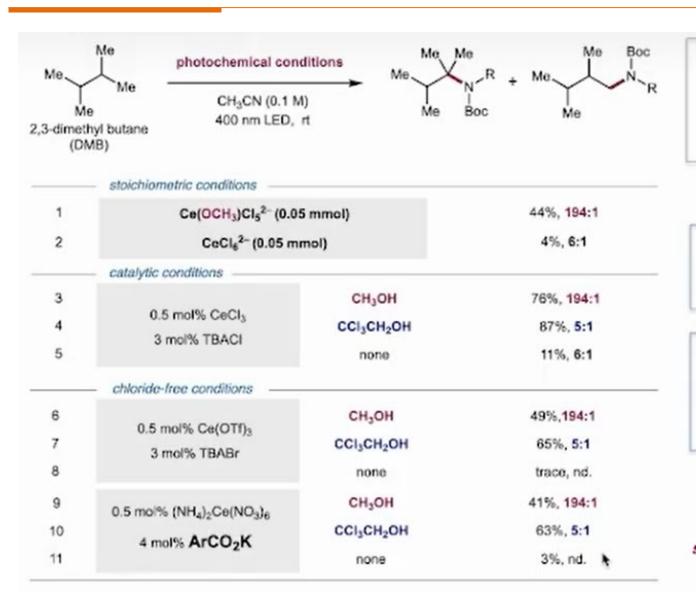
#### 2.2.4. Parallel KIE experiments



#### 2.2.4. Intermolecular competition KIE experiments



#### 2.2.5.区域选择性控制实验

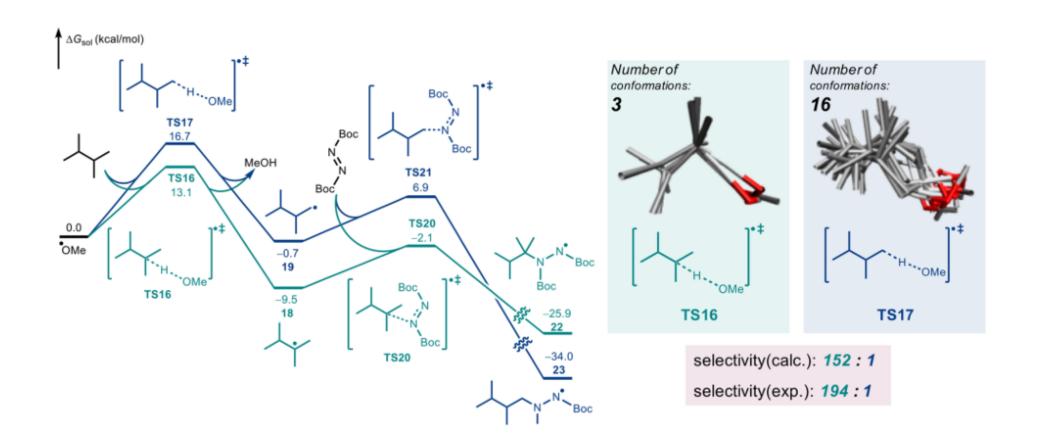


Chlorine radical-mediated HAT (in free radical chlorination) Intrinsic selectivity 3°:1° ~ 6:1 J. Am. Chem. Soc. 1960, 82, 6108

- HAT agent: CH<sub>3</sub>O· chlorine radical precluded
- identical selectivity
   obtained in
   chloride-containing and
   chloride-free conditions

chloride as supporting ligand like bromide and benzoate selectivity controlled by alcohol

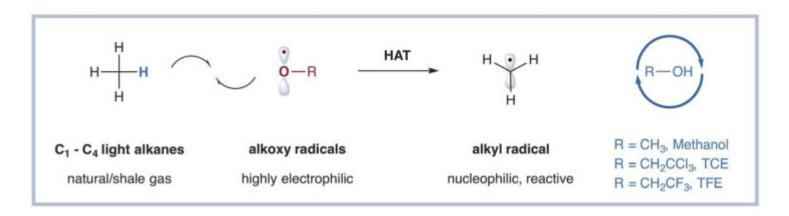
#### 2.2.6. DFT calculations



总结: LMCT过程产生单一的烷氧基自由基,而不是氯自由基。

# Selective functionalization of methane, ethane, and higher alkanes by cerium photocatalysis

Anhua Hu\*, Jing-Jing Guo\*, Hui Pan, Zhiwei Zuo†



#### Methane functionalization

- □ "Grand challenge"
- Low intrinsic reactivity
- Selectivity and efficiency



#### Homogeneous catalysts for methane activation





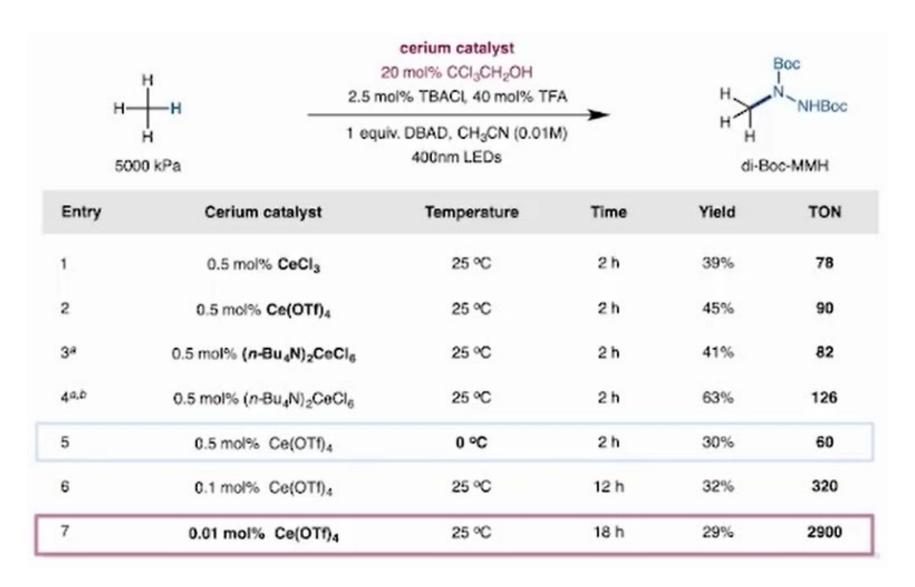






etc...

Shilov, A. E. et al. Chem. Rev. 1997, 97, 2879 Periana, R. A. et al. Chem. Rev. 2017, 117, 8521

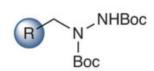


### n mol% cerium catalyst20 mol% alcholol catalyst



5n mol% TBACI, 1 equiv. DBAD

CH<sub>3</sub>CN, rt, 400nm LEDs



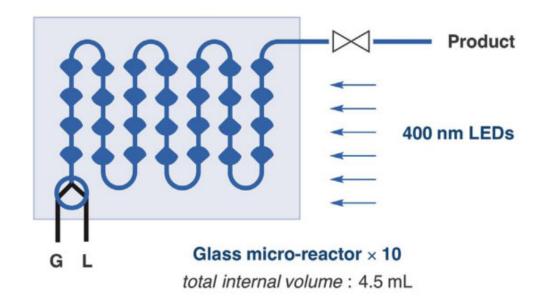
Alkane	Entry	Cerium catalyst	Loading (n)	Alcohol catalyst	Time	Yield	TON
R = H*  Methane (5000 kPa)	1	Ce(OTf) <sub>4</sub>	0.5	CCI <sub>3</sub> CH <sub>2</sub> OH	2 h	45%	90
	2†	(n-Bu <sub>4</sub> N) <sub>2</sub> CeCl <sub>6</sub>	0.5	CCI <sub>3</sub> CH <sub>2</sub> OH	2 h	63%	126
	3	Ce(OTf) <sub>4</sub>	0.01	CCI <sub>3</sub> CH <sub>2</sub> OH	18 h	29%	2900
R = Me <sup>‡</sup>	4	CeCl <sub>3</sub>	0.5	CCl₃CH₂OH	4 h	74%	148
Ethane (101 kPa)	5§	CeCl <sub>3</sub>	0.01	CCI <sub>3</sub> CH <sub>2</sub> OH	4 h	97%	9700
	6	CeCl <sub>3</sub>	0.5	CCl₃CH₂OH	9 h	70% (1:1 r.r.)	140
R = Et  Propane (101 kPa)	7	CeCl <sub>3</sub>	0.5	CF <sub>3</sub> CH <sub>2</sub> OH	12 h	61% (1:1 r.r.)	122
, , , , , , , , , , , , , , , , , , ,	811	CeCl <sub>3</sub>	0.5	CH₃OH	19 h	39% (1:3.9 r.r.)	78
	9	CeCl <sub>3</sub>	0.5	CCl₃CH₂OH	6 h	76% (1:1.7 r.r.)	152
R = Pr Butane (101 kPa)	10	CeCl <sub>3</sub>	0.5	(CH <sub>3</sub> ) <sub>2</sub> CHOH	18 h	40% (1:4 r.r.)	80
<b>Datano</b> (101 til a)	11"	CeCl <sub>3</sub>	0.5	CH₃OH	18 h	72% (1:8 r.r.)	144
Cyclohexane	12	CeCl <sub>3</sub>	0.5	CCl₃CH₂OH	16 h	81%	162

#### Gas input (G)

compressed gaseous alkane

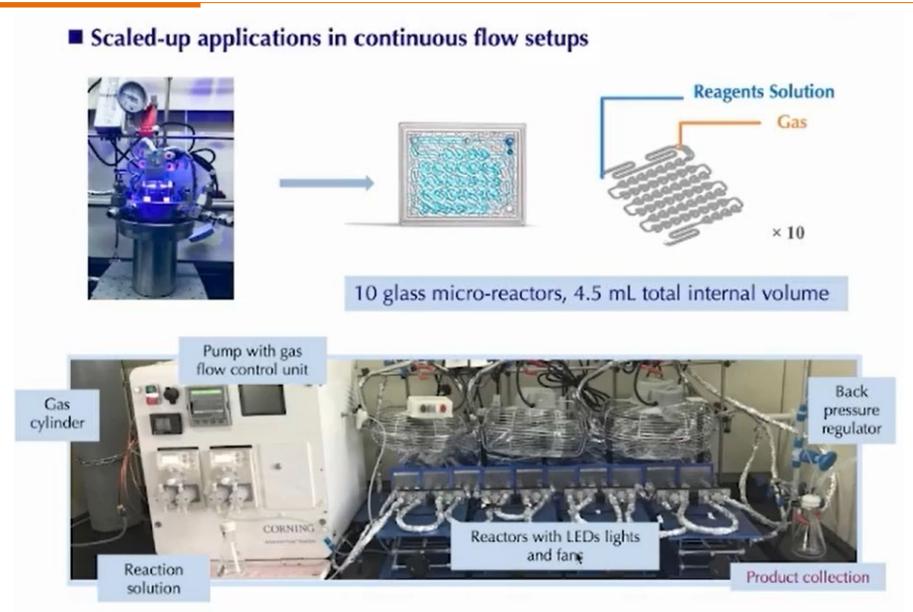
#### Liquid input (L)

(n-Bu<sub>4</sub>N)<sub>2</sub>CeCl<sub>6</sub> (42 mg, 1 mol%) CCl<sub>3</sub>CH<sub>2</sub>OH (149 mg, 20 mol%) DBAD (1.15 g, 5 mmol) CH<sub>3</sub>CN (100 mL)

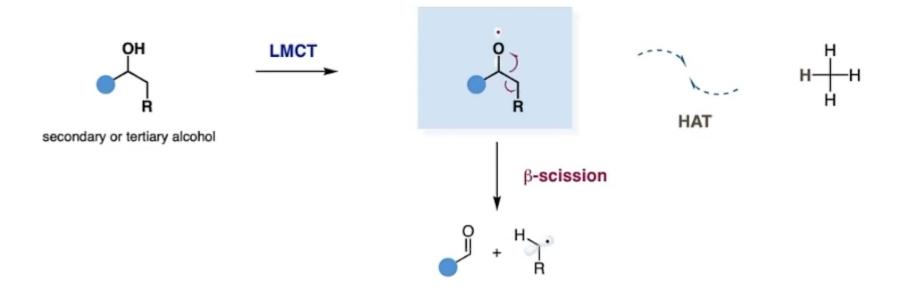


Entry	Alkane	Flow rate	Residence time	Yield	Productivity
1*	methane (1800 kPa)	0.3 mL/min	15 min	15%	0.6 mmol/d
2†	ethane (1500 kPa)	0.75 mL/min	6 min	90%	2.0 mmol/h
3	propane (800 kPa)	0.75 mL/min	6 min	76%	1.7 mmol/h
4	butane (400 kPa)	0.75 mL/min	6 min	56%	1.3 mmol/h
5 <sup>‡</sup>	cyclohexane (22 mL)	0.5 mL/min	9 min	70%	4.2 mmol/h

反应在玻璃微通道反应器中高效进行,使工业化生产成为可能。



#### Alkoxy radicals: HAT vs. β-scission





#### **Communications**

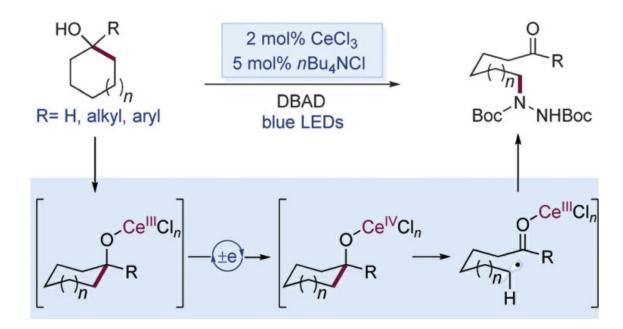


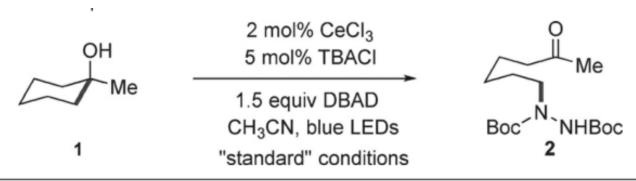
#### Photochemistry

International Edition: DOI: 10.1002/anie.201609035 German Edition: DOI: 10.1002/ange.201609035

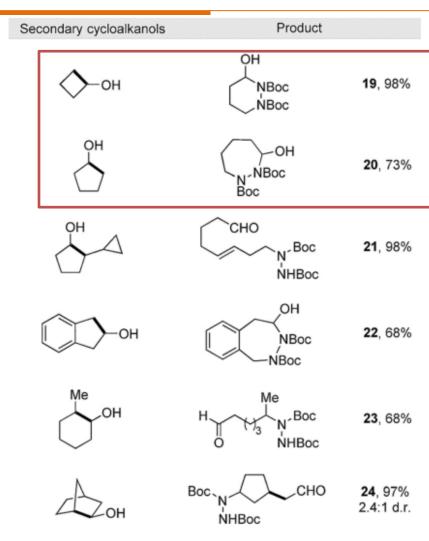
## Photocatalytic C—C Bond Cleavage and Amination of Cycloalkanols by Cerium(III) Chloride Complex

Jing-Jing Guo<sup>+</sup>, Anhua Hu<sup>+</sup>, Yilin Chen, Jianfeng Sun, Haoming Tang, and Zhiwei Zuo\*

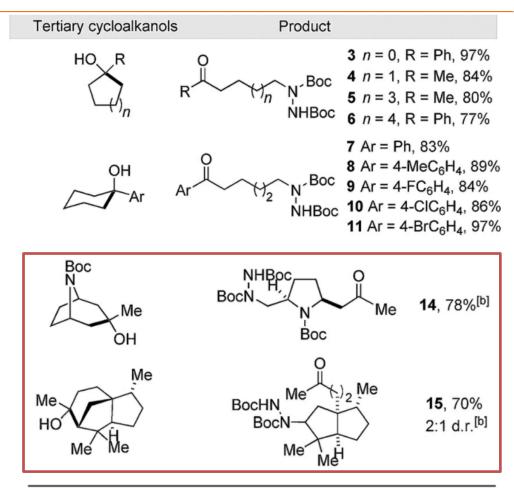




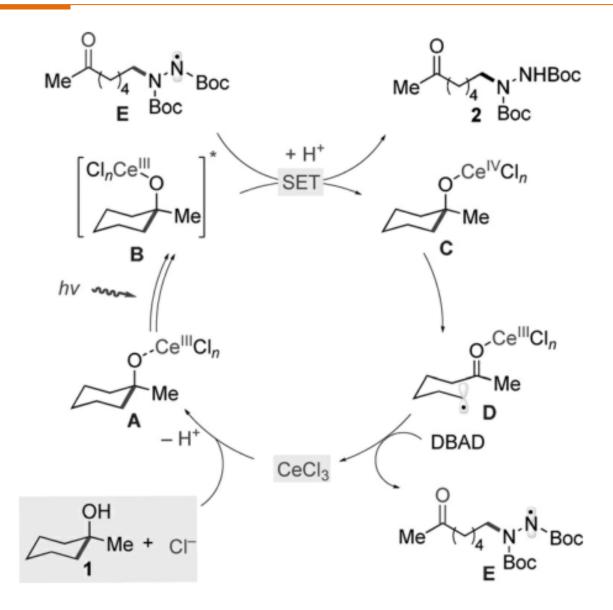
Entry	Variation from the "standard" conditions	Yield [%] <sup>[a]</sup>
1	none	92 (91) <sup>[b]</sup>
2	0.5 mol% CeCl <sub>3</sub> , 1.2 mol% TBACl	90 <sup>[c]</sup>
3	no TBACI	39
4	TBAPF <sub>6</sub> , instead of TBACl	25
5	TBAOH, instead of TBACI	0
6	TBAOAc, instead of TBACI	0
7	TBAI, instead of TBACI	0
8	CeCl <sub>3</sub> ·7 H <sub>2</sub> O, instead of CeCl <sub>3</sub>	91
9	added water (2 equiv)	89
10	no CeCl <sub>3</sub>	0
11	no light	0
12	no light, 50°C	0



对于四元和五元的仲醇底物,在完成 碳氮键构筑后倾向于环化生成六元和 七元化合物



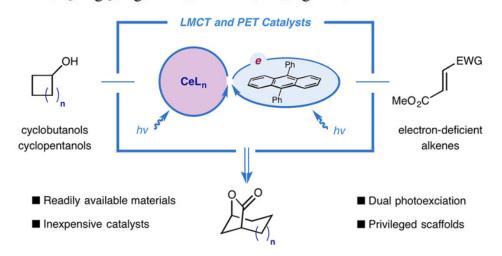
[a] All reactions are run on 0.4 mmol scale under the optimized reaction conditions. Yield is that of the isolated product. Diastereoselectivity was determined by either <sup>1</sup>H NMR or HPLC analysis. See the Supporting Information for experimental details. [b] Reaction performed with 10 mol% CeCl<sub>3</sub> and 25 mol% TBACl.





## Cerium-Catalyzed Formal Cycloaddition of Cycloalkanols with Alkenes through Dual Photoexcitation

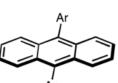
Anhua Hu, Yilin Chen, Jing-Jing Guo, Na Yu, Qing An, and Zhiwei Zuo\*



配体-金属电荷转移(LMCT)+光诱导电子转移(PET)

环烷醇与烯烃的形式环加成反应

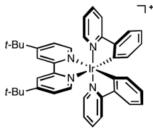
Entry	Additives	Light	Yield (%) <sup>a</sup>	
1	none	blue LEDs	8	
2	2 mol% anthracene	blue LEDs	19	Ar
3	2 mol% DBA	blue LEDs	32	Ar = 2 $Ar = 3,4$
4	2 mol% DMA	blue LEDs	56	,
5	2 mol% DCA	blue LEDs	10	t-Bu
6	2 mol% DPA	blue LEDs	82	t-Bu
7	2 mol% <b>4</b>	blue LEDs	78	t-Bu <b>∕</b>
8	2 mol% 5	blue LEDs	42	lr(pp
9	2 mol% Ir(ppy) <sub>2</sub> (dtbbpy)PF <sub>6</sub>	blue LEDs	48	



R = Br, **DBA** R = OMe, **DMA** 

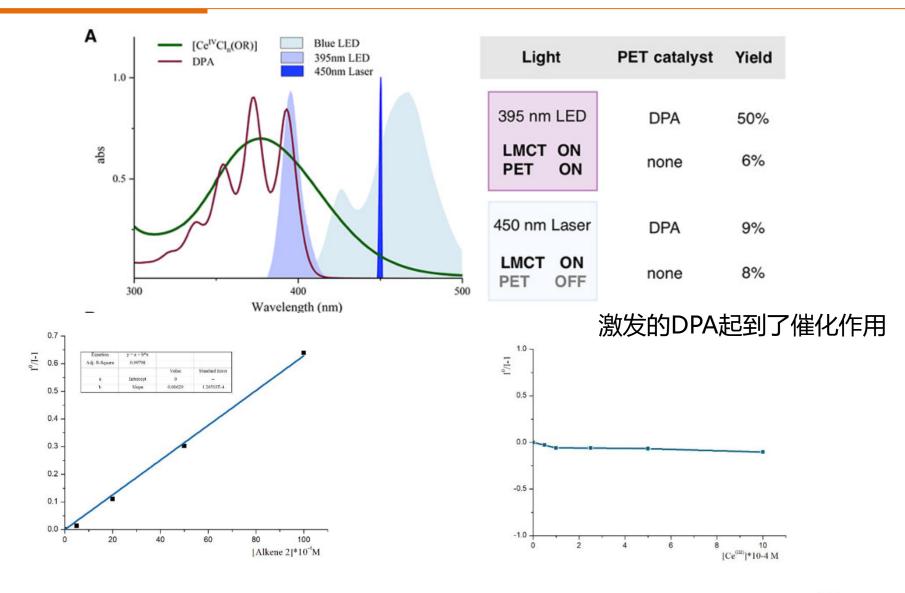
R = CN, DCA

Ar = Ph, **DPA** Ar = 2,4-di-F- $C_6H_3$ , **4** Ar = 3,4-di-OMe- $C_6H_3$ , **5** 

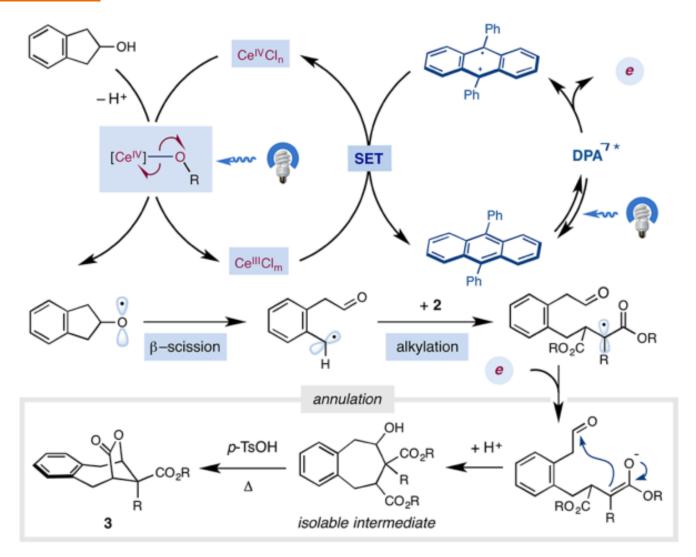


Ir(ppy)<sub>2</sub>(dtbbpy)PF<sub>6</sub>

蒽类化合物,尤其是DPA对该反应有明显的加速效应。



Stern-Volmer quenching studies of DPA by alkene 2. Stern-Volmer quenching studies of DPA by  $Ce^{(III)}Cl_n(OC_5H_9)$ .



光诱导电子转移(PET):在光的诱导下,电子进行分子内或分子间转移的现象。

products balkenes cycloalkanols products a CO<sub>2</sub>Et ·OH EtO<sub>2</sub>C<sub>5</sub> EtO<sub>2</sub>C COCH<sub>3</sub> CO<sub>2</sub>Me COCH<sub>3</sub> Me Me CO<sub>2</sub>Me 21 18 h, 88% yield 1.2 : 1 d.r. 6 12 h, 69% yield 1.4:1 d.r. COCH<sub>3</sub> H<sub>3</sub>COC ЮН COCH<sub>3</sub> CO<sub>2</sub>Me COCH<sub>3</sub> Me CO<sub>2</sub>Me Me 24 18 h, 86% yield 9 14 h, 65% yield 1.5 : 1 d.r. ÇO₂Et EtO<sub>2</sub>C<sub>></sub> -OH CO<sub>2</sub>Me Me **12** 15 h, 79% yield 1.7 : 1 d.r. 27 18 h, 72% yield 1.6 : 1 d.r.

### Reactant solution

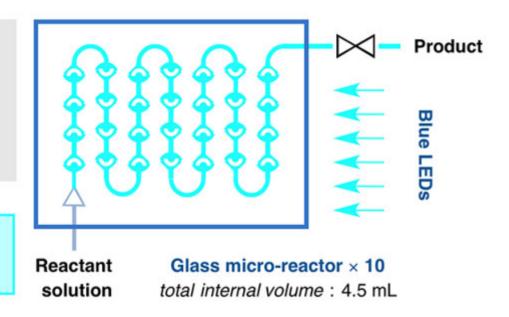
cyclobutanol (1.44 g), **2** (6.48 g)

CeCl<sub>3</sub> (49 mg), DPA (66 mg)

Bu<sub>4</sub>PCl (118 mg), PhCN (50 mL)

### **Product output**

4.6 g, 80% yield, 5 mmol/h

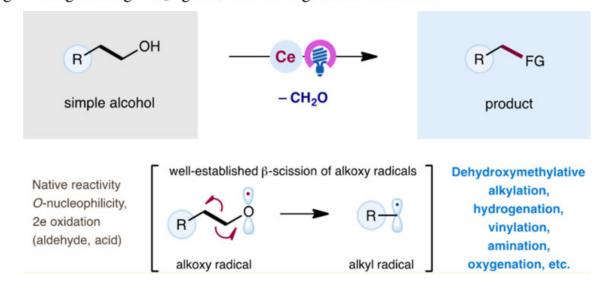


使用连续流动技术进行了100倍放大的反应。采用平行的10个玻璃微反应器(4.5mL总内部体积)以确保最大限度地利用光子以实现高效率。在闭环模式下以5mmol/h的显著生产率生产所需的环加成产物。



# Dehydroxymethylation of Alcohols Enabled by Cerium Photocatalysis

Kaining Zhang,<sup>†</sup> Liang Chang,<sup>†</sup> Qing An, Xin Wang, and Zhiwei Zuo\*



脱氢甲基化将醇原料直接转化为少含一个碳原子的烷基合 成子,后续有望实现多种官能化。

entry	catalysts	light	yield (%) <sup>a</sup>	O-addition (%) <sup>a</sup>
1	CeCl <sub>3</sub> , (n-Bu) <sub>4</sub> NCI	400 nm	2	6
2	CeCl <sub>3</sub> , (n-Bu) <sub>4</sub> NCl	365 nm	39	10
3	CeCl <sub>3</sub> , (n-Bu) <sub>4</sub> NBr	400 nm	15	12
4	CeCl <sub>3</sub> , (n-Bu) <sub>4</sub> NBr	365 nm	85	trace
5	CeBr <sub>3</sub>	365 nm	trace	9
6	Ce(OTf) <sub>3</sub>	365 nm	trace	34
7	Ce(OTf) <sub>3</sub> , 30 mol % (n- Bu) <sub>4</sub> NBr	365 nm	79	trace
8	$(n-Bu)_4NBr$	365 nm	0	0
9	CeBr <sub>3</sub> , (n-Bu) <sub>4</sub> NBr	dark	0	27
10	entry 1, 2 mol % DPA	400 nm	51	trace
11	entry 1, 5 mol % DPA	400 nm	81	trace

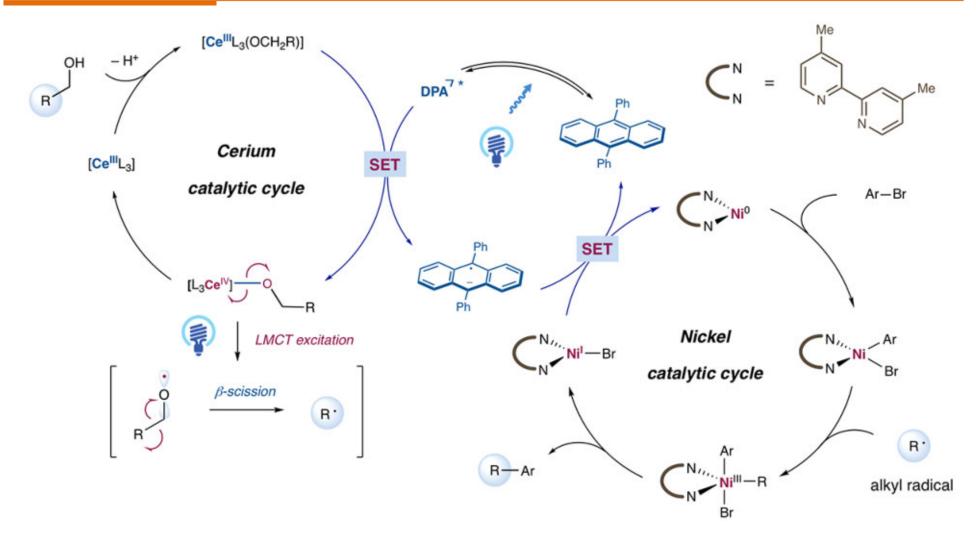


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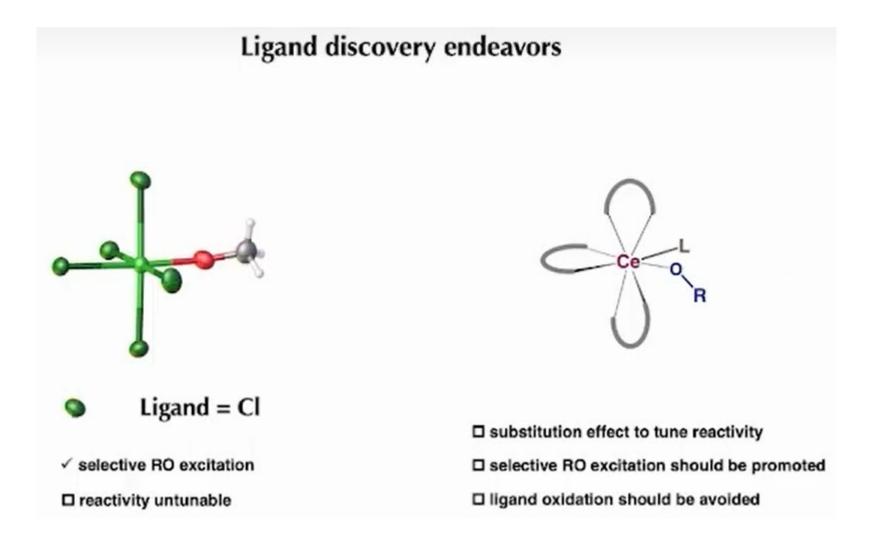
# Photocatalytic Dehydroxymethylative Arylation by Synergistic Cerium and Nickel Catalysis

Yuegang Chen, Xin Wang, Xu He, Qing An, and Zhiwei Zuo\*





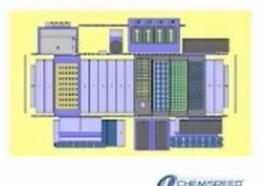
联吡啶配体会毒化铈催化剂



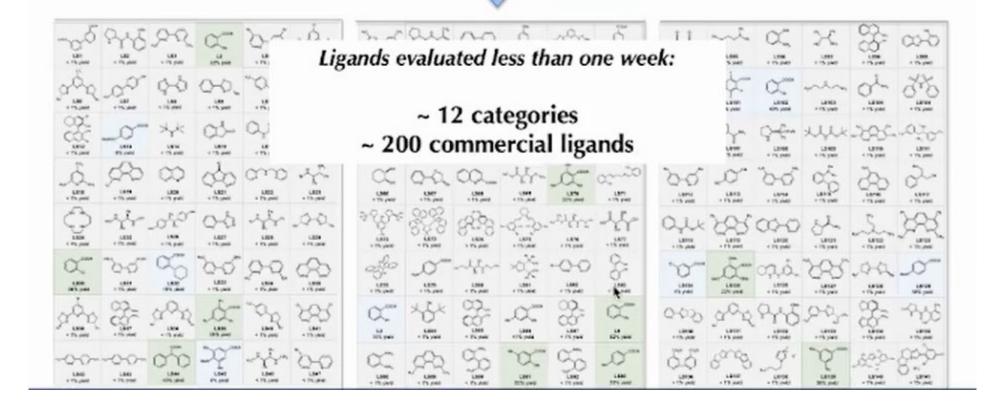
### High-throughput ligand evaluation performed by automated system





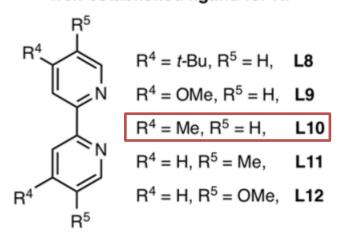




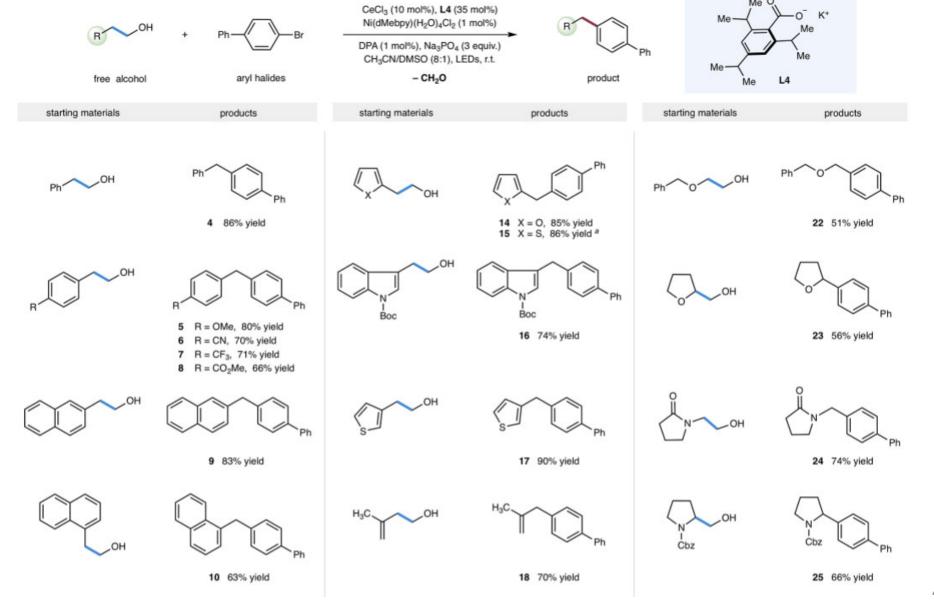


### benzoate ligand for Ce

### well-established ligand for Ni



Optimal yield: 93%



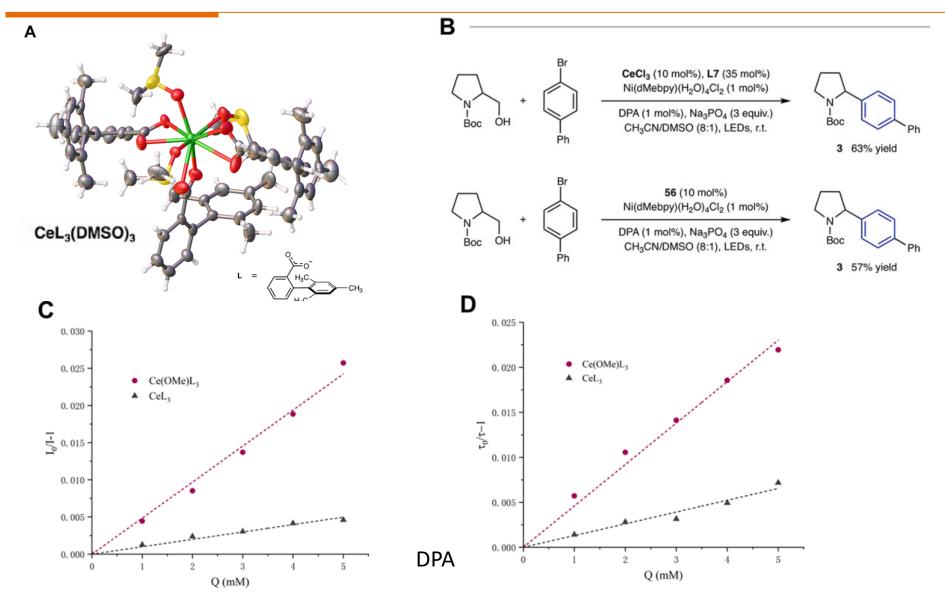


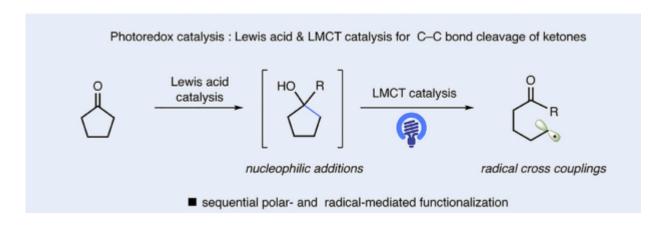
Figure 3. Mechanistic studies. (A) Molecular structure of 56 with 30% probability ellipsoids. (B) Evaluation of the catalytic efficiency of the cerium complex. (C) Stern-Volmer plot based on the steady-state emission experiment. (D) Stern-Volmer plot based on the time-resolved emission experiment. See the Supporting Information for a detailed description. (E) Proposed catalytic cycle.

# Chem

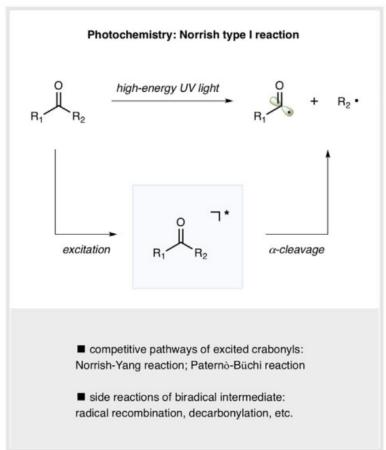
Cell<sup>2</sup>ress

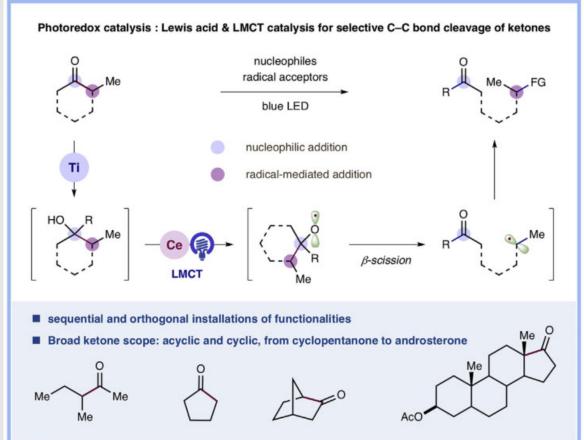
### **Article**

# Selective C-C Bond Scission of Ketones via Visible-Light-Mediated Cerium Catalysis



通过路易斯酸催化和LMCT协同催化,选择性地裂解酮的C-C键,从而能够通过顺序和正交的方式在裂解的C-C键的每个碳上安装不同的官能团。





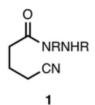
Norrish I型反应是一种切割酮的 C-C键的强大方法,但由于选择性 和实用性问题,使得合成应用受到 限制。



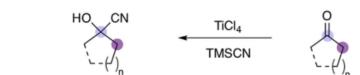
1.2 eq DIAD, 3.0 eq TMSCN, CH<sub>3</sub>CN/PhCl blue LEDs (0.16 W/cm<sup>2</sup>), 50 °C, 5h

standard condition

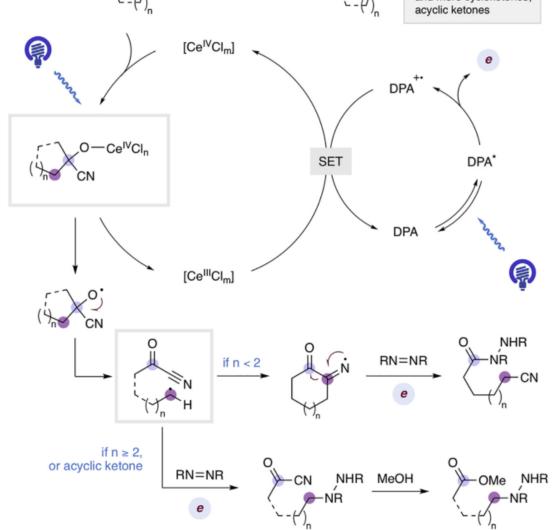
2 mol% Ce(OTf)<sub>4</sub>, 10 mol% TiCl<sub>4</sub> 5 mol% DPA, 10 mol% TBACI

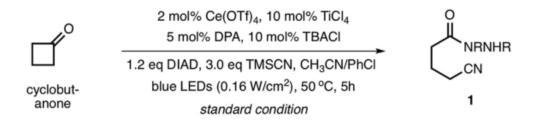


cyclobutanone blue

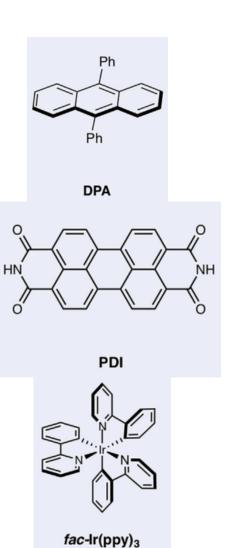


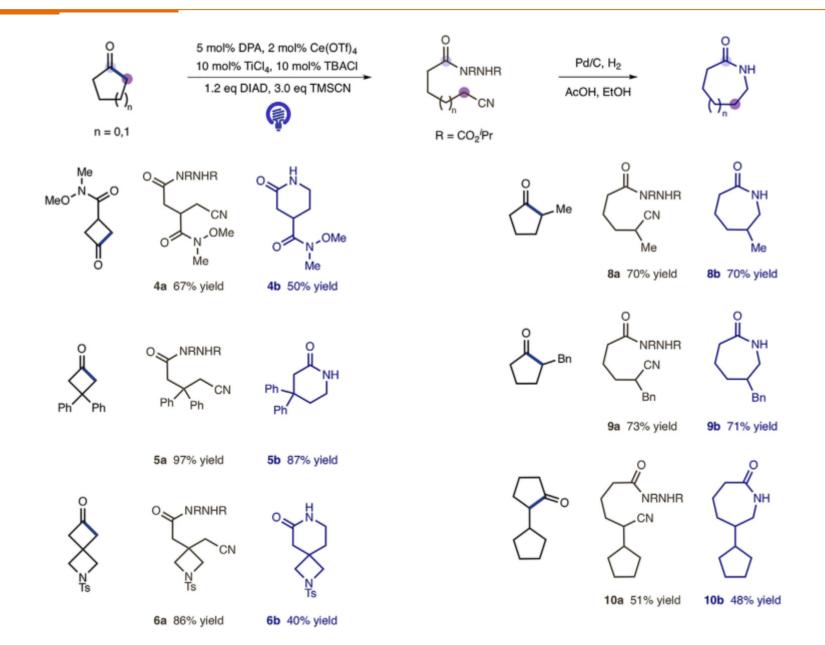
n < 2 cyclobutanone, cyclopentanone; n ≥ 2, cylohexanones and more cycloketones;

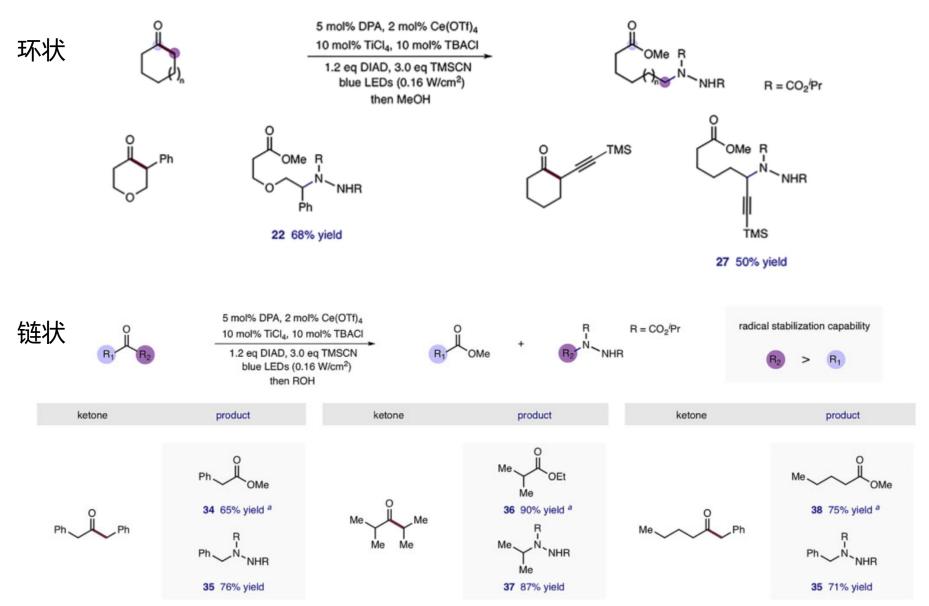




Entry	ntry variations from standard condition	
1	none	92
2	without TiCl <sub>4</sub>	0
3	AIEtCl <sub>2</sub> instead of TiCl <sub>4</sub>	9
4	BF <sub>3</sub> ·OEt <sub>2</sub> instead of TiCl <sub>4</sub>	
5	AlCl <sub>3</sub> instead of TiCl <sub>4</sub>	5
6	ZnCl <sub>2</sub> instead of TiCl <sub>4</sub>	10
7	without DPA	48
8	PDI instead of DPA	82
9	Ir(ppy) <sub>3</sub> instead of DPA	88
10	without Ce(OTf) <sub>4</sub>	0
11	without 100 Wblue LED	0



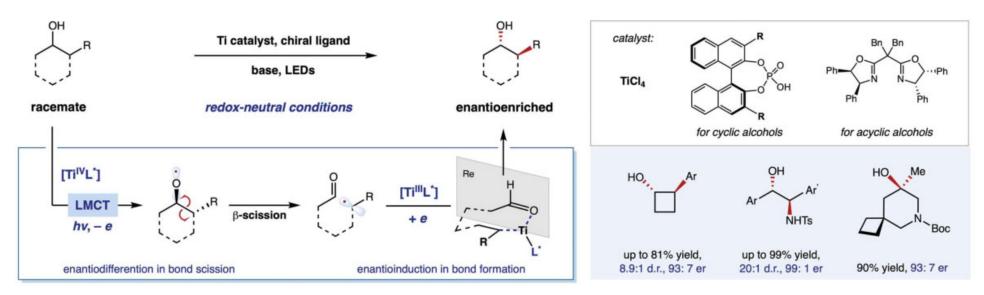




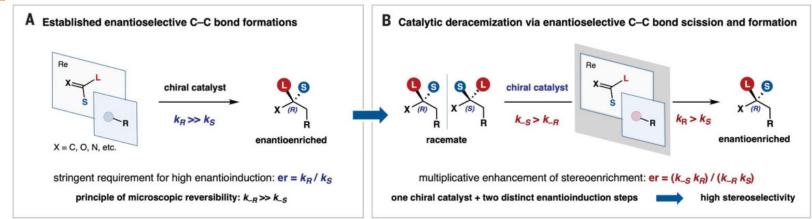
#### ORGANIC CHEMISTRY

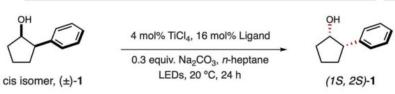
# Multiplicative enhancement of stereoenrichment by a single catalyst for deracemization of alcohols

Lu Wen†, Jia Ding†, Lingfei Duan†, Shun Wang, Qing An, Hexiang Wang, Zhiwei Zuo\*

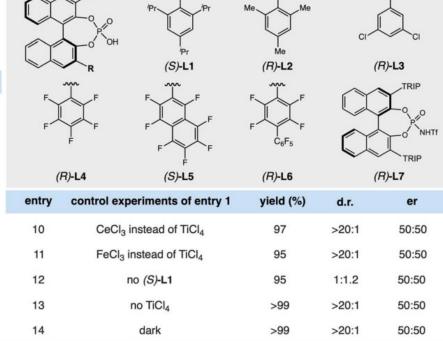


利用配体金属电荷转移催化(LMCT catalysis)策略,首次实现了非张力碳碳键断裂-立体重组,建立了手性放大和手性富集的新过程。

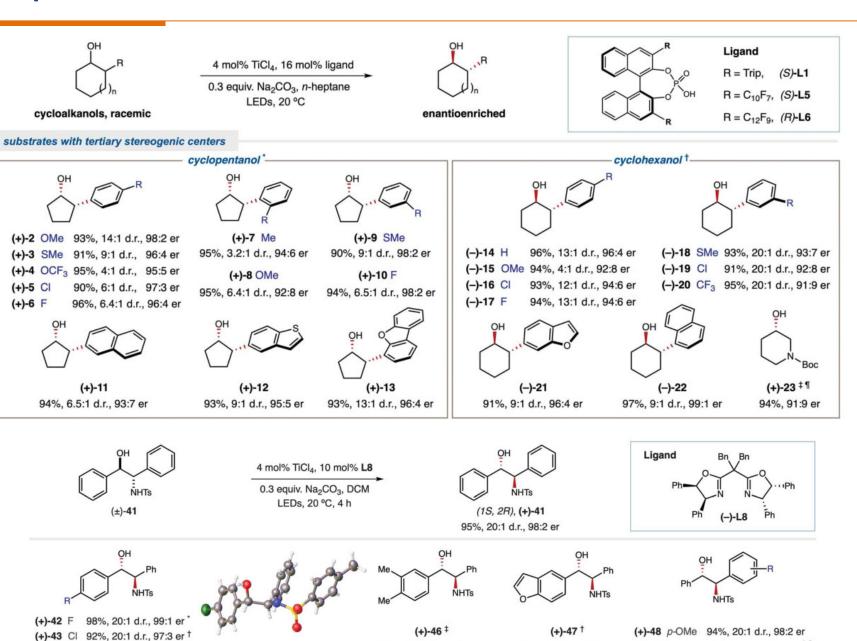




						$\sim$ R	
entry	ligand	yield (%)	d.r.	er		~~	
1	(S)-L1	93	8.8:1	99:1	F		
2	(R)-L2	94	2.2:1	13:87	F	F	
3	(R)-L3	96	1:1	62:38	(	(R)-L4	
4	(R)-L4	99	1:1	41:59	entry	contro	
5	(S)-L5	97	1.6:1	44:56	10	C	
6	(R)-L6	94	1.2:1	66:34	11	F	
7	(R)-L7	99	3.2:1	21:79	12		
8	(S)-L1, EtN(Pr)2 instead of Na2CO3	99	3.9:1	93:7	13		
9	(R)-L1	99	8.8:1	1:99	14		



(+)-44 Br 95%, 16:1 d.r., 99:1 er



98%, 20:1 d.r., 97:3 er

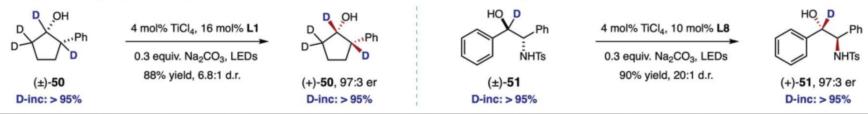
(+)-43

96%, 11:1 d.r., 98:2 er

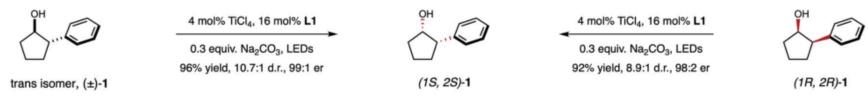
(+)-49 m-Cl

84%, 5:1 d.r., 96:4 er † §

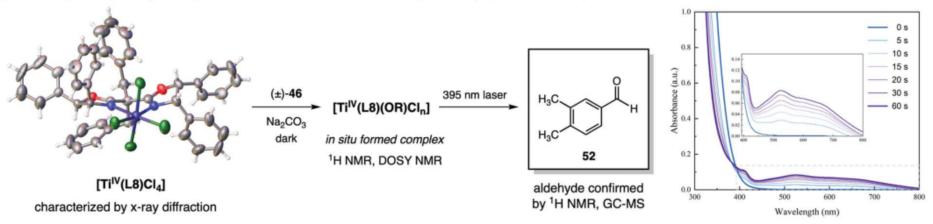
### A Isotope labeling experiments preclude alcohol oxidation/reduction and HAT pathways for deracemization



### **B** enantioconvergent transformations support deracemization through a shared, achiral intermediate

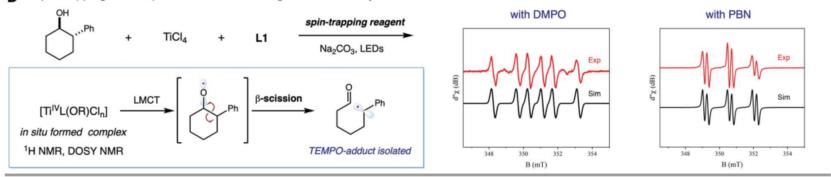


C steady state photolysis experiments validate the LMCT-homolysis of Ti(IV) alkoxide complex



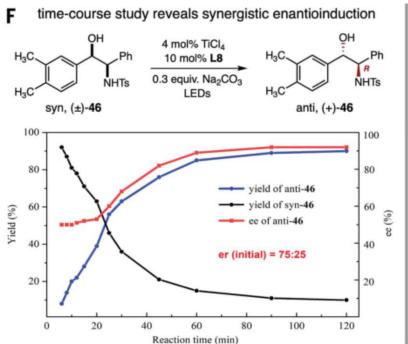
- A. 排除了HAT或串联的氧化还原过程作为去消旋化途径
- B. 由C-C键断裂生成了共同的前手性中间体
- C. 验证了Ti(IV)配合物的LMCT均裂过程

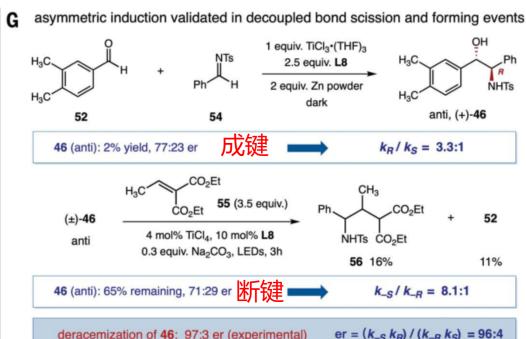
p spin-trapping EPR experiments validate the generation of alkyl radical in the bond scission event



rcoss-over experiments validate the intermediacy of aldehydes in the bond forming event

- D. 证明了反应过程中烷基自由基的存在
- E. 加入活性更高的对氟苯甲醛,新的对氟取代氨基醇的生成明确支持了醛和β-断裂产生的碳中心自由基作为去消旋化反应中的共同中间体





通过立体选择性乘积公式 (er = kRk-S/kSk-R),预测达到平衡时产物的立体选择性为 96:4 er,这与去消旋化反应的实验值(er 97:3)基本一致,验证了去消旋化反应中催 化剂手性放大的倍增效应。

# Thanks!